

Attorney Docket No. YOR920010659US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): M.R. Ebling et al.  
Docket No.: YOR920010659US1  
Serial No.: 10/091,248  
Filing Date: March 5, 2002  
Group: Unassigned  
Examiner: Unassigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as priority mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: *Judith E. Ryan*

Date: March 19, 2002

Title: Method and Apparatus for Providing  
Dynamic User Alert

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. U.S. Provisional Patent Application Serial No. 60/306,314 filed July 18, 2001 in the name of inventors Ebling et al. and entitled, "Method and Apparatus for Providing Extensible Scalable Transcoding of Multimedia Content."

2. U.S. Patent Application Serial No. 09/862,524 filed May 22, 2001 in the name of inventors Ebling et al. and entitled, "Information Retrieval With Non-Negative Matrix Factorization."

3. U.S. Patent Application Serial No. 09/511,977 filed February 24, 2000 in the name of inventors Ebling et al. and entitled, "Method and Apparatus for Providing a Scaleable Pervasive Notification Service."

4. U.S. Patent Application Serial No. 09/479,821 filed January 7, 2000 in the name of inventors Ebling et al. and entitled, "Method and Apparatus for Providing an Awareness-Service Architecture."



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It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

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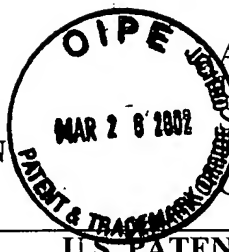
Date: March 19, 2002

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## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

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## U.S. PATENT DOCUMENTS

EXAMINER					FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE
___	1. 60/306,314	7/18/01	Ebling et al. and entitled, "Method and Apparatus for Providing Extensible Scalable Transcoding of Multimedia Content."		
___	2. 09/862,524	5/22/01	Ebling et al. and entitled, "Information Retrieval With Non-Negative Matrix Factorization."		
___	3. 09/511,977	2/24/00	Ebling et al. and entitled, "Method and Apparatus for Providing a Scaleable Pervasive Notification Service."		
___	4. 09/479,821	1/7/00	Ebling et al. and entitled, "Method and Apparatus for Providing an Awareness-Service Architecture."		

## FOREIGN PATENT DOCUMENTS

EXAMINER					TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO
___						

## OTHER DOCUMENTS

EXAMINER			
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
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Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.